

**C-TPAT (Customs-Trade Partnership Against Terrorism)
PIP (Partners in Protection)**

Supply Chain Security Questionnaire

Company Name: Spirit Road Xpress Inc. Date: Sept 3, 2009

Address: Box 1070 3155 PR 421 East

City: Halbstadt Province/State: Manitoba
Postal Code/Zip: R0A 0S0

Contact: Kimberly Friesen Title: President

Phone: 204-324-9779 Fax: 204-324-9789

Email:
kim@spiritroad.ca

Section 1 – Type of Supplier/Service Provider (please check all that apply):

- | | | |
|--|--|--|
| <input type="checkbox"/> Steamship Line | <input type="checkbox"/> NVOCC
<small>(Non vessel operating common carrier)</small> | <input type="checkbox"/> Airline |
| <input type="checkbox"/> Airfreight Consolidator | <input type="checkbox"/> CFS/Warehouse | <input type="checkbox"/> Freight Forwarder |
| <input type="checkbox"/> Customs Broker | <input checked="" type="checkbox"/> Highway Carrier | |
| <input type="checkbox"/> Product Supplier | | |
-

Section 2 – Procedural Security and Documentation

Key : N/A - Not applicable U/D - Under development		YES	NO	N/A	U/D
1.	Is your company a participant in the Customs-Trade Partnership Against Terrorism (C-TPAT) or Partners in Protection (PIP) program?		X		
<p><i>If you answered "YES" to question 1, STOP HERE, sign and return your questionnaire along with a copy of your approved C-TPAT or PIP authorization letter from the Canada Border Services Agency or US Customs and Border Protection. For C-TPAT please submit SVI #</i></p> <p align="center">SVI # _____</p>					
2.	Does your company intend to participate in the US Customs C-TPAT program in the future?		X		
3.	Does your company participate in the Canadian Customs PIP program?		X		
4.	Does your company participate in any other Canadian or US Customs program: FAST, CSA, Carrier Initiative Program (CIP)? If other, please name _____ CSA _____.	X			
5.	Does your company have a written corporate security policy?	X			
6.	Does your company have procedures to protect against the introduction of un-manifested materials into your facility and/or conveyances?	X			
7.	Does your company have procedures to ensure the accuracy of the information contained in manifests, bills of lading and other shipping documents?	X			
8.	Does your company have procedures to identify and report shortages and overages?	X			
9.	Does your company have procedures to verify seals on containers, trailers, rail cars?	X			
10.	Do you validate shipper, consignee, notify party names and addresses?	X			
11.	Are company vehicles (including trailers and containers) locked/secured when left unattended?	X			
12.	Are empty trailers, containers or other conveyances stored in a secure manner to prevent unauthorized use or access?	X			
13.	Are private vehicles searched/inspected upon entry or exit to your yard?	X			
14.	Does your company have a system to track the movement of your conveyances?	X			
15.	Does your company have procedures to protect against falsification of documents?	X			
16.	Does your company have procedures to identify and report suspicious persons or activities to Customs or other law enforcement agencies?	X			

C-TPAT/PIP Supply Chain Security Questionnaire

Section 3 – Physical Security

Key : N/A - Not applicable U/D - Under development		YES	NO	N/A	U/D
1.	Is your facility designed and constructed to protect against unlawful entry?				x
2.	Does your facility have adequate locking devices on all external and internal doors, windows, gates?	x			
3.	Is the interior and exterior of your facility, including parking areas, adequately lighted?	x			
4.	Do you have separate parking areas away from cargo, loading dock areas for employees and visitors?	x			
5.	Do you segregate domestic, international, high-value, and dangerous goods in your facility by means of cages, signs or floor markings?			x	
6.	Are surveillance cameras used?				x
7.	Do you have designated security personnel or security devices in the cargo areas?	x			
8.	Are empty containers/trailers inspected upon arrival?	x			

Section 4 – Access Controls

Key : N/A - Not applicable U/D - Under development		YES	NO	N/A	U/D
1.	Do your employees have identification badges and are they required to wear them at all time while on premises?		x		
2.	Are visitors required to sign in and are they accompanied by an authorized employee at all times?	x			
3.	Does your company have procedures to challenge unauthorized/unidentified persons in your facility?	x			
4.	Do you restrict access to computer areas (mainframe, media storage, etc.)?	x			
5.	Are computer workstations, pc's and other terminal devices password protected?	x			
6.	Are passwords routinely changed?	x			
7.	Do you restrict access to computer files?	x			
8.	Do you perform routine system backup?	x			
9.	Is system access via the internet protected by means of firewalls, encryption or other security protocols?	x			

C-TPAT/PIP Supply Chain Security Questionnaire

Section 5 – Personnel, Training and Education

Key : N/A - Not applicable U/D - Under development		YES	NO	N/A	U/D
1.	Does your company perform pre-employment screening?	x			
2.	Does your company perform periodic background checks on employees whose positions or job functions directly relate to security?	x			
3.	Does your company have an internal code of conduct?	x			
4.	Does your company provide security awareness training to all employees?	x			
5.	Do you encourage active employee participation in security controls?	x			

C-TPAT Supply Chain Security Questionnaire

I certify that the information provided in this Supply Chain Security Questionnaire is true, accurate and was completed without prejudice.

Should any of the foregoing information change, we agree to advise of any changes, additions or deletions to the information provided in this questionnaire.



Signature

President

Title

Kimberly Friesen

Print Name

September 3, 2009

Date

Please mail or fax the completed questionnaire to: